# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# BEST AVAILABLE IMAGES

Defective images within this document are accurate representation of The original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.



O3W #4

ATTORNEY DOCKET NO.: 044481-5044

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ation of: V. RAMAKRISHNAN et al.	)
No.: 09/775,803	) Group Art Unit:
ary 5, 2001	) Examiner:
NSGENIC ANIMALS HAVING A DIFIED GLYCOPROTEIN V GENE	) ) )
ner for Patents ING PARTS , D.C. 20231	
RESPONSE TO NOTICE TO F	ILE MISSING PARTS
replies to the Notice to File Missing parts the 9, 2001. A copy of the Notice To File ication is enclosed.	<del></del>
aration Or Oath	
No declaration or oath was filed. Encl Declaration and Power of Attorney	losed is the original Combined
[ ] The specification attached to the specification and any amendment of PTO to obtain the filing date.	he declaration is a copy of the ents thereto which were filed in the
The declaration or oath which was file new original Combined Declaration ar	
English Translation of Non-English La	anguage Papers
Submitted herewith is a verified Engli- language application papers as origina translation be used as the copy for exa	lly filed. It is requested that this
00000052 09775803 980.00 OP	
	ary 5, 2001  NSGENIC ANIMALS HAVING A DIFIED GLYCOPROTEIN V GENE  For Patents ING PARTS ID.C. 20231  RESPONSE TO NOTICE TO F  replies to the Notice to File Missing parts th 9, 2001. A copy of the Notice To File fication is enclosed.  For artion Or Oath  No declaration or oath was filed. Encl Declaration and Power of Attorney  [ ] The specification attached to the specification and any amendm PTO to obtain the filing date.  The declaration or oath which was file new original Combined Declaration and English Translation of Non-English La Submitted herewith is a verified Engli language application papers as origina translation be used as the copy for exa

1-WA/1687041 1

ATTORNEY DOCKET NO.: 044481-5044

Application No.: 09/775,803

Page 2

## Also enclosed is the Verification of Translation Document

# 4. Small Entity Statement(s)

Verified Statement(s) that this is a filing by a small entity

Applicants qualify as a small business concern as defined in 37 CFR 1.27

(a)(1) through (a)(3), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code.

#### 5. Fee Calculation

Basic Fee: Patent Application-\$740.00 Design Application-\$330.00			\$740.00	
	Number Filed	Number Extra	at a Rate of	
Total Claims	28 - 20 =	8	\$18.00 each=	+144.00
Independent Claims	5 - 3 =	2	\$84.00 each=	+168.00
Multiple dependent claim(s), if any \$280.00		+280.00		
Missing Parts Surcharge Fee \$130.00		+130.00		
SUB-TOTAL =			1462.00	
Reduction by 1/2 for filing by a small entity			- \$731.00	
SUB-TOTAL =			731.00	
Fee For Application Filed With A Non-English Specification (37 CFR 1.17(k) and 1.52(d) \$130.00		+		
Fee For Processing and retention of application (37 CFR 1.21(l) and 1.53(d) \$130.00		+		
TOTAL FILING FEE =				\$731.00

ATTORNEY DOCKET NO.: 044481-5044

Application No.: 09/775,803

Page 3

#### 6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

[X] Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

Total months requested	Fee for extension	[fee for Small Entity]
[ ] one month	\$ 110.00	\$ 55.00
[ ] two months	\$ 400.00	\$200.00
[ ] three months	\$ 920.00	\$460.00
[ ] four months	\$1,440.00	\$720.00
[X] five months	\$1,960.00	\$980.00
Extension of time fee due	with this request:	<u>\$ 980.00</u>

If an additional extension of time is required, please consider this a Petition therefor.

## 7. Fee Payment

The total fee due is:	Completion Fees Extension Fees	\$731.00 \$980.00

Total Fee Due **\$1,711.00** 

# [X] FEE IS BEING PAID BY CHECK

Check Nos. 112853 and 112872 are attached for the following:

Basic Filing Fee:	\$	370.00
Five months extension to time		980.00
Additional claims fee including		
fee for multiple dependent claims		296.00
surcharge for filing missing parts		65.00
Total amount of checks:	\$1	,711.00

**Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R '1.16 and '1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

ATTORNEY DOCKET NO.: 044481-5044

Application No.: 09/775,803

Page 4

- 8. Additional papers enclosed.
  - [X] Substitute Drawings (Figs. 1-9) 12 sheets total
  - [X] Petitition for Filing an Application Under 37 C.F.R § 1.47(a) with accompanying Statement of Facts in Support Thereof.
  - [X] Check No. 112967 in the amount of \$130.00 for Petition fee.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Date: October 9, 2001

Lawrence J. Carroll Reg. No. 40,940

CUSTOMER NO. 009629 MORGAN, LEWIS & BOCKIUS <sup>LLP</sup>

1800 M Street, N.W. Washington, D.C. 20036 (202) 467-7000 (202) 467-7258



#### United States Patent and Trademark Office



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/775,803

02/05/2001

Vanitha Ramakrishnan

44481-5044

Reid G. Adler MORGAN, LEWIS & BOCKIUS LLP 1800 M Street, N.W. Washington, DC 20036-5869



**CONFIRMATION NO. 3916** FORMALITIES LETTER \*OC000000005843301\*

Date Mailed: 03/09/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27). 00000052 09775803 10/12/2001 MBERHE

03 FC:203

04 FC:202

05 FC:204

Total additional claim fee(s) for this application is \$287.

\$72 for 8 total claims over 20.

■ \$80 for 2 independent claims over 3.

■ \$135 for multiple dependent claim surcharge.

The oath or declaration is missing.

• To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 707.

The application is informal since it does not comply with the regulations for the reason(s) indicated beaw. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Substitute drawings in compliance with 37 CFR 1.84 because: 05/10/2002 AKELLEY 00000019 500310 09775803

01 FC:205 65.00 CH<sub>a</sub> drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)).

Repln. Ref: 05/10/2002 AKELLEY sheetstowst include a top margin of at least 2.5 cm. (1 inch), a left side margin of at Name/Number: 09775803

DAR:500310 \$9.00 CR FC: 704

370.00 OP

92.00 Nh

72.00 OP

84.00 DP

140.00 DP

AKELLEY

음

05/10/2002

00000018 09775803



least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice  $\underline{MUST}$  be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE